



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/735,296	01/14/2000	SHU-HSIA CHEN	6923-084

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

CONFIRMATION NO. 7224

## FORMALITIES LETTER



\*OC000000006067503\*

Date Mailed: 05/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/21/2001 SSITHIB1 00000048 161150 9735296

01 FC:105 130.00 CH

Adjustment date: 06/22/2001 SSITHIB1  
06/21/2001 SSITHIB1 00000048 161150 9735296  
01 FC:105 130.00 CH

06/22/2001 SSITHIB1 00000004 161150 09735296  
01 FC:105 130.00 CH



Express Mail No.: EL 501 640 318 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chen et al.

Application No.: 09/735,296

Group Art Unit: 1562

Filed: January 14, 2000

Examiner: To Be Assigned

For: COMBINATION THERAPY OF  
CANCER BY THE ACTIVATION  
OF CO-STIMULATORY  
MOLECULES EXPRESSED BY  
IMMUNE CELLS AND  
CYTOKINES

Attorney Docket No.: 6923-084

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application ("Notice"), mailed May 11, 2001, a copy of which is submitted herewith, Applicants, in connection with the above-identified application, submit herewith a true copy of each of the following documents filed on March 29, 2001 in the United States Patent and Trademark Office as Exhibit A:

1. Transmittal Of Declaration For Non-Provisional Patent Application;
2. an executed Declaration For Non-Provisional Patent Application; and
3. Return Post-Card Receipt, which on one side had an itemized list of the papers mailed on March 29, 2001, and Express Mail Label No. EL 501 638 172 US.

Applicants also submit herewith as Exhibit B a true copy of the stamped Post-Card Receipt received from the United States Patent and Trademark Office, evidencing receipt of the

above-identified documents by the United States Patent and Trademark Office on March 29, 2001. Thus, the executed Declaration required by the Notice has already been filed and received by the United States Patent and Trademark Office.

Entry of the above-identified documents and the foregoing remarks into the file of the above-identified application is respectfully requested.

It is estimated that no fee is required for filing this response. In the event a fee is required, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date June 19, 2001

Laura A. Coruzzi 30,742  
Laura A. Coruzzi (Reg. No.)

**PENNIE & EDMONDS** LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures

By: Jennifer J. Chheda  
Reg No. 46,617



**EXPRESS MAIL NO.: EL501 638 172US**

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Chen et al.

Application No.: 09/735,269

Group Art Unit: To Be Assigned

Filed: January 14, 2000

Examiner: To Be Assigned

For: COMBINATION THERAPY OF  
CANCER BY THE ACTIVATION  
OF CO-STIMULATORY  
MOLECULES EXPRESSED BY  
IMMUNE CELL

Attorney Docket No.: 6923-084

**TRANSMITTAL OF DECLARATION FOR  
NON-PROVISIONAL PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith, in connection with the above-identified application, an executed Declaration For Non-Provisional Patent Application ("Declaration") executed by Shu-Hsia Chen and Savio L.C. Woo. Applicants are concurrently filing in the recordation branch of the United States Patent and Trademark Office an executed Assignment which transfers the rights of Shu-Hsia Chen and Savio L.C. Woo in the above-identified application to Mount Sinai School of Medicine Of New York University. Applicants respectfully request that the executed Declaration be entered in the file of the above-identified application.

It is estimated that a fee of \$130.00 is required for filing the Declaration. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Entry of the foregoing remarks is respectfully requested.

Respectfully submitted,

By: *Janifer J. Chheda*  
Reg No. 46,617

Date March 29, 2001

*Laura A. Coruzzi*

30.742

Laura A. Coruzzi

(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure



Express Mail No. EL501 638 172US First Class Mail ( )  
Date Mailed March 29, 2001  
Ser. No. 09/735, 269 Filed Jan. 14, 2000  
Inventor Chen et al.  
For Combination Therapy Of Cancer By The Activation Of  
Co-Stimulatory Molecules Expressed By Immune Cell

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|--|--|
| <input type="checkbox"/> Affidavit/Declaration                                 | <input type="checkbox"/> Fee Address Indication Form |
| <input type="checkbox"/> Amendment   | <input type="checkbox"/> Fee Calculation             |
| <input type="checkbox"/> Application <u>      </u> Pages                       | <input type="checkbox"/> Issue Fee Transmittal       |
| <input type="checkbox"/> <u>      </u> Claims <u>      </u> Drawings           | <input type="checkbox"/> Letter                      |
| <input type="checkbox"/> Appeal, Notice of                                     | <input type="checkbox"/> Oral Hearing Req./Confirm.  |
| <input type="checkbox"/> Assignment  | <input type="checkbox"/> Petition to Extend Time     |
| <input type="checkbox"/> Brief (in triplicate)                                 | <input type="checkbox"/> Pet. under 37 C.F.R.        |
| <input checked="" type="checkbox"/> Declaration & <del>Power of Attorney</del> | <input type="checkbox"/> Power of Attorney           |
| <input type="checkbox"/> Design Application                                    | <u>      </u> Associate <u>      </u> w/Revocation   |
| <input type="checkbox"/> Disclaimer  | <input type="checkbox"/> Sequence Listing w/Computer |
| <input type="checkbox"/> Disclosure Statement                                  | <u>      </u> Readable and Paper Copies              |
| <input type="checkbox"/> w/refs. <input type="checkbox"/> w/o refs.            | <input type="checkbox"/> Small Entity Statement      |
| <input type="checkbox"/> Drawings Formal                                       | <input type="checkbox"/> Status Letter               |
| <u>      </u> Sheets <u>      </u> Figures                                     | <input type="checkbox"/> Transmittal Letter          |

Other: Transmittal Of Declaration For Non-Provisional Patent  
Application (in dupl.)  
File No. 6923-084-999 Sender: LAC / JJC